IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: HIROYAMA, Ryouji et al.

Group Art Unit: 2815

Serial No.: 09/669,448

Examiner: Matthew C. Landau

Filed: September 25, 2000

P.T.O. Confirmation No.: 2968

For:

SEMICONDUCTOR LASER DEVICE AND METHOD OF MANUFACTURING THE

SAME

INFORMATION DISCLOSURE STATEMENT **PURSUANT TO 37 CFR 1.97(b)**

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 OFFICE OF PETITIONS

November 16, 2004

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

No fee or certification is required in connection with this Information Disclosure Statement, since it is being submitted prior to the issuance of a first official action on the merits following the Request for Continued Examination (RCE) in the above-identified patent application.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the

CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office:

On 11-16-9004

Marie Arminger Typed or printed name of person signing certificate

U.S. Patent Application Serial No. 09/669,448 Information Disclosure Statement

documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge our Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS, HANSON & BROOKS, LLP

How the George N. Stevens Attorney for Applicant Reg. No. 36,938

GNS/mla Atty. Docket No. 001241 **Suite 1000** 1725 K Street, N.W. Washington, D.C. 20006 (202) 659-2930

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PATENT TRADEMARK OFFICE

Enclosures:

PTO-1449

References (1)

Copy of European Patent Office Communication for corresponding

European Patent Application No. 00 308 345 dated October 15, 2004

INFORMATION DISCLOSURE CITATION PTO-1449	Atty. Docket No. 001241 Serial No. 09/669,448				
	Applicant(s): HIROYAMA, Ryouji et al.				
	Filing Date: September 25, 2000	Group Art Unit: 2815			

U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)		
	AA					FAX RECEIVED			
	AB					PAX NEOEL 3			
	AC					NOV	1 6 2004		
	AD.					OFFICE	OF PETITIONS		
	AE								

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Translation (Yes or No)
	AF	0 660 472	12/23/1994	Europe	Yes
	AG				
· .	AH				•
	AI			·	
	AJ				

OTHER DOCUMENTS

	AK			-
	AL			
Examiner		Date Considered		